Behavioral/Cognitive

Nonvisual and Visual Object Shape Representations in Occipitotemporal Cortex: Evidence from Congenitally Blind and Sighted Adults

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Knowledge of object shape is primarily acquired through the visual modality but can also be acquired through other sensory modalities. In the present study, we investigated the representation of object shape in humans without visual experience. Congenitally blind and sighted participants rated the shape similarity of pairs of 33 familiar objects, referred to by their names. The resulting shape similarity matrices were highly similar for the two groups, indicating that knowledge of the objects' shapes was largely independent of visual experience. Using fMRI, we tested for brain regions that represented object shape knowledge in blind and sighted participants. Multivoxel activity patterns were established for each of the 33 aurally presented object names. Sighted participants additionally viewed pictures of these objects. Using representational similarity analysis, neural similarity matrices were related to the behavioral shape similarity matrices. Results showed that activity patterns in occipitotemporal cortex (OTC) regions, including inferior temporal (IT) cortex and functionally defined objects' tactile and semantic similarity. Furthermore, neural similarity matrices of IT and OSC showed similarities across blind and sighted groups (within the auditory modality) and across modality (within the sighted group), but not across both modality and group (blind auditory–sighted visual). Together, these findings provide evidence that OTC not only represents objects visually (requiring visual experience) but also represents objects nonvisually, reflecting knowledge of object shape independently of the modality through which this knowledge was acquired.

Introdu tion

Object shape allows us to recognize, identify, categorize, and interact with objects. Knowledge of object shape is typically acquired through the visual modality, yet object shape is not a strictly visual object property (e.g., color): shape knowledge can also be acquired through other sensory modalities, such as touch. Indeed, congenitally blind individuals, who lack visual experience, have a detailed, rich, and relatively accurate representation of object shape (Gregory and Wallace, 1963). In the present study, we aimed to reveal nonvisual shape representations in the human brain. Specifically, by testing congenitally blind individuals, we tested whether regions in high-level "visual" cortex that

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have been associated with visual shape representations also represent object shape nonvisually.

Much research has investigated the neural mechanisms underlying the recognition of object shape from visual input. In human fMRI, regions in ventral and lateral occipitotemporal cortex respond more strongly to pictures of intact objects relative to their scrambled counterparts (Malach et al., 1995; Kourtzi and Kanwisher, 2000). Important evidence that these regions represent the shape of objects, rather than other properties of intact objects, comes from recent fMRI studies that used multivoxel pattern analysis (Eger et al., 2008; Haushofer et al., 2008; Op de Beeck et al., 2008; Drucker and Aguirre, 2009; Peelen and Caramazza, 2012; Mur et al., 2013). These studies have shown that multivoxel activity patterns within object-selective cortex (OSC; defined by the contrast between intact and scrambled objects) carry information about the shape of the viewed objects. For example, patterns of activity within OSC discriminate between objects with smooth, sharp, and straight contours (Op de Beeck et al., 2008). Another study (Haushofer et al., 2008) used representational similarity analysis (RSA; Kriegeskorte et al., 2008) to directly link OSC activity patterns with perceived shape similarity. In that study, participants rated the pairwise similarity of several shapes, thus creating a matrix describing the perceptual similarity among the set of shapes. Multivoxel fMRI activity patterns in OSC were established for each of the shapes, and the

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similarity between these activity patterns was computed using correlations. Correlating the perceptual and neural similarity matrices showed that activity patterns in parts of OSC reflected perceived object shape.

These findings raise the question of whether the representation of object shape in high-level visual cortex is a purely visual representation or whether this region also represents object shape nonvisually. One way to address this question is to relate neural similarity with shape similarity for objects that are not presented visually (e.g., objects referred to by aurally presented words or objects explored by touch). However, in sighted individuals, positive results in such an experiment could reflect visual imagery of shapes (Stokes et al., 2009; Reddy et al., 2010; Cichy et al., 2012). Therefore, in the present study we tested congenitally blind individuals, who have never visually experienced object shape and therefore cannot visually imagine shapes, to test whether nonvisual object shape is represented in high-level visual cortex.

Materials and Methods

Participants

Thirteen congenitally blind and 15 sighted adults were scanned and paid for participation in the study. All blind participants reported that they had lost their vision since birth. Because medical records of onset of blindness were not available for most participants, it cannot be ruled out that some of the participants may have had vision very early in life. None of the participants remembered to have ever been able to visually recognize shapes. Five blind participants reported to have had faint light perception in the past. Three participants reported to have faint light perception at the time of testing. An ophthalmologist established the cause of blindness of all participants. This TJT3rnpJT3hcauseout



Figure 1. Shape similarity matrix. Matrix showing the shape similarity between all object pairs on a scale from 1 (least similar) to 7 (most similar), averaged across the participants of an independent group. Participants were asked to rate "how similar in shape are the objects denoted by these two words."

ment (Fig. 1), as the blind and sighted fMRI participants did not rate tactile and semantic similarity. Using the student groups' similarity matrices has the advantage that the ratings were fully independent of the fMRI data. We also analyzed the data using each participant's own shape similarity matrix. These analyses gave qualitatively similar but less consistent results, in line with a previous study showing that consensus judgments may be more informative than idiosyncratic judgments in predicting fMRI activity to individual items (Engell et al., 2007). Indeed, using the shape similarity matrix averaged across all raters (blind, sighted, students) slightly improved results (in terms of statistical significance) relative to the results reported here.

Similarity ratings for visual experiment. A new group of sighted participants (N = 16; college students) rated the perceptual similarity of the pictures presented in the visual experiment. In each trial, two pictures, of different objects, were shown simultaneously and participants answered the question "how much do these two objects look alike?" using a sevenpoint scale (seven for most similar). Participants were instructed to judge the similarity of the shape of the objects disregarding low-level similarities, such as differences in size, illumination, or viewpoint.

The pixelwise similarity between the objects was computed using pixelwise correlations (Peelen and Caramazza, 2012) on black-and-white silhouettes of the object pictures used in the visual experiments. The analysis was done separately for each of the three exemplars of the 33 objects. Correlations were Fisher transformed and then averaged across the three exemplars. This resulted in a symmetric 33×33 matrix of pixel similarity.

Data acquisition and analysis

MRI data were collected with a 3T Siemens Trio Tim scanner at the BNU MRI center. A high-resolution 3D structural dataset was acquired with a 3D-MPRAGE sequence in the sagittal plane (TR, 2530 ms; TE, 3.39 ms; flip angle, 7 degree; matrix size, 256×256 , 144 slices; voxel size, $1.33 \times 1 \times 1.33$ mm; acquisition time, 8.07 min). BOLD activity was measured with an EPI sequence (TR, 2000 ms; TE, 30 ms; flip angle, 90; matrix size, 64×64 ; voxel size, $3 \times 3 \times 3.5$ mm with gap of 0.7 mm, 33 axial slices).

fMRI data were analyzed using BrainVoyager QX v2.3. The first five volumes (10 s) of each run were discarded. Preprocessing of the functional data included slice time correction, 3D motion correction, and high-pass filtering (cutoff, three cycles per run). No spatial smoothing was applied. For each participant, functional data were then registered to her/his anatomical data and transformed into Talairach space. Functional data were analyzed using the general linear model. We included 33 regressors of interest corresponding to the 33 objects, and six regressors of no interest corresponding to the six motion parameters.

Anatomical regions of interest (ROIs) were defined using the Talairach atlas implemented in BrainVoyager. Corresponding ROIs of the two hemispheres were combined because no significant differences between hemispheres were found in any of the analyses. The first ROI (occipital cortex; OC) consisted of Brodmann areas 17 and 18. BA17 and BA18, located in the occipital lobe, approximately correspond to retinotopic areas V1 and V2/V3, respectively. The second ROI (inferior temporal cortex; IT) consisted of Brodmann areas 37 and 20. BA37 and BA20 include the occipitotemporal and ventral temporal cortex, covering re-



Figure 2. Group-average shape and neural similarity matrices. Correlations between the group-average independent shape rating matrix (center) and the group-average shape rating matrices of the blind and sighted groups of the fMRI study (top left), and correlations between the group-average independent shape rating matrix and the group-average neural similarity matrices of OC, IT, and OSC ROIs in the auditory experiment. Asterisks indicate significance levels of the correlations relative to zero; *p < 0.05; **p < 0.01.

gions in which object-selective responses are found. The two ROIs were of comparable size (OC, 28,906 mm³; IT, 20,348 mm³).

A functionally defined OSC ROI was taken from a previous study (Bracci et al., 2012) conducted in a separate group of sighted participants. Participants performed one run of a standard object-selective cortex localizer (Malach et al., 1995), lasting 5 min. Stimuli consisted of 20 intact and 20 scrambled objects, which were presented in alternating blocks. OSC was defined at the group level (N = 11) in Talairach space by contrasting intact versus scrambled objects (random-effects, p < 0.001), and had a size of 24,896 mm³.

To create a neural similarity matrix for a given ROI, multivoxel response patterns (t values relative to baseline) for the 33 objects were correlated with each other, resulting in a symmetric 33 \times 33 matrix. These correlation values were Fisher transformed. Shape information was computed by correlating the neural similarity matrix of a given ROI with the average shape similarity matrix of the independent student group, considering only unique off-diagonal values of the matrices. Correlations between neural and shape matrices were computed for each participant individually, Fisher transformed, and then tested against zero across participants using t tests. Considering the unidirectional hypothesis for this test (a positive correlation between neural similarity and shape similarity), one-tailed statistical tests were used. For all other tests (e.g., differences between groups), for which both directions might be hypothesized, two-tailed tests were used.

Whole-brain pattern analysis was performed using a searchlight approach (Kriegeskorte et al., 2006). For each voxel in the brain we took a cube of 18 mm side length (corresponding to 216 resampled ($3 \times 3 \times 3$ mm voxels) around this voxel. For each of these cubes, shape information was computed as described above. Information values from each

cube were assigned to the center voxel of this cube. The analysis was performed for each participant separately. A random-effects group analysis was performed testing for voxels in which information values differed from zero using *t* tests. The threshold was set to p < 0.05 (conjunction analysis) and p < 0.005 (combined analysis), with a cluster size threshold of five voxels.

Results

Shape similarity ratings

As can be seen in Figure 1, there was considerable variability in the shape similarity of the object name pairs, as rated by an independent group of sighted students who did not participate in the fMRI experiment. Shape similarity was also rated by the sighted and blind participants of the fMRI study. Interestingly, the shape similarity matrix of the independent student group was equally strongly correlated with the shape similarity matrix of the sighted (r = 0.84) and of the blind (r = 0.84) group participating in the fMRI experiment. The correlation between the matrices of the sighted and blind fMRI groups was r = 0.88 (Fig. 2). These similarities show that the blind participants' judgments of shape are surprisingly similar to those of sighted individuals, suggesting a common representation of object shape.

Auditory experiment

fMRI activity was measured while sighted and congenitally blind participants listened to the names of 33 common household objects. For several regions of interest, the neural similarity between the 33 objects was correlated with the rated shape similarity between these same objects. Regions of interest included two anatomical ROIs, corresponding to what is often considered lowlevel visual cortex (OC) and high-level visual cortex (IT), and an OSC ROI, functionally defined by the contrast between pictures of intact and scrambled objects in a separate group of sighted participants (see Materials and Methods).

Group-average matrices

In a first analysis we compared the similarity between the groupaverage neural matrices of the blind and sighted groups, and between the group-average neural matrices and the independent shape similarity matrix (Fig. 2). Significance of these correlations was determined using permutation tests (1 million iterations), by computing correlations between each of two 2 matrices after randomly shuffling the labels of the conditions. This yielded, for each comparison, a probability of obtaining a correlation under the null hypothesis that was the same or larger as those obtained between the original matrices.

As shown in Figure 2, the neural similarity matrices of all three regions were reliably correlated across groups (p < 0.00002, for all comparisons), indicating an overlap in the neural representational space of the objects in blind and sighted participants. Importantly, the neural similarity matrices of IT and OSC were significantly correlated with the shape similarity matrix for both the sighted (IT, p = 0.00011; OSC, p = 0.00007) and the blind (IT, p = 0.010; OSC, p = 0.022) groups, indicating that these regions showed relatively similar neural patterns to objects that were rated as relatively similar in shape, even in the absence of visual experience. By contrast, the neural similarity matrix of OC was not significantly correlated with the shape similarity matrix in either group (sighted, p = 0.12; blind, p = 0.31).

Individual-participant matrices

Our main statistical analyses were based on individualparticipant correlations, allowing for population-level inferences. For each participant, correlations between each ROI's neural similarity matrix and the independent shape similarity matrix were computed and Fisher transformed. To test for significant shape information, correlations were tested against zero using one-sample t tests. To test for differences in shape information between blind and sighted groups, and between ROIs, correlations were contrasted between groups and ROIs using ANOVAs and independent-samples t tests. Individualparticipant correlations were also tested using random-effects searchlight analyses, focusing on the occipitotemporal cortex. To ensure that correlations between neural similarity and shape similarity were not due to effects of tactile similarity or semantic similarity (see Materials and Methods for the rating procedure of tactile and semantic similarity), second-order partial correlations were also computed, removing the influence of both tactile and semantic similarity.

A 2 × 2 ANOVA on shape information in the two anatomical ROIs, with group (sighted, blind) and ROI (OC, IT) as factors, revealed a main effect of ROI, with significantly more shape information in IT than OC ($F_{(1,25)} = 5.51$; p = 0.027; Fig. 3*a*). Shape information was significant in

IT (r = 0.034; $t_{(26)} = 3.87$; $p_{one-tailed} = 0.0003$) but not in OC (r = 0.008; $t_{(26)} = 0.70$; $p_{one-tailed} = 0.25$). There was no main effect of Group ($F_{(1,25)} = 0.19$; p = 0.67) and no interaction between ROI and Group ($F_{(1,25)} = 0.03$; p = 0.88), indicating equally strong shape information in blind and sighted groups. Shape information in the IT ROI was significant in both the sighted group (Fig. 3a; $t_{(13)} = 3.09$; $p_{one-tailed} = 0.0043$) and the blind group (Fig. 3a; $t_{(12)} = 2.28$; $p_{one-tailed} = 0.021$). These results did not change when controlling for the influence of both tactile and semantic similarity using second-order partial correlation analysis: shape information was again significant in IT (r = 0.028; $t_{(26)} = 3.03$, $p_{one-tailed} = 0.3027$) but not in OC (r = 0.006; $t_{(26)} = 0.44$, $p_{one-tailed} = 0.33$). Furthermore, shape information in the IT ROI was again significant in both the sighted group (r = 0.031; $t_{(13)} = 2.24$,

r

sighted participants, replicating previous reports (Stokes et al., 2009; Reddy et al., 2010; Cichy et al., 2012).

Comparing neural similarity matrices across modality and group

The current results indicate commonalities in the neural representational spaces of OSC and IT across modality (within the sighted group) and across blind and sighted groups (within the auditory modality; Fig. 2). A final question that we addressed was whether these two commonalities were related to each other as well. That is, whether neural similarity matrices in OSC and IT would also be correlated when comparing visual experiment matrices of the sighted with auditory experiment matrices of the blind. In a first analysis, we computed the correlation between each sighted participant's neural similarity matrix in the visual experiment (sighted visual) and the group-average neural similarity matrix of the blind participants' auditory experiment (blind_auditory). These correlations were not significantly different from zero (Fig. 5; r < 0; $t_{(11)} < 1$, for both ROIs) even though for the same sighted participants the correlation between their auditory experiment neural similarity matrices and the group-average blind auditory neural similarity matrix was reliably positive in both OSC (Fig. 5; r = 0.091; $t_{(11)} = 2.36$; $p_{one-tailed} =$ 0.019) and IT (Fig. 5; r = 0.087; $t_{(11)} = 4.09$; $p_{one-tailed} = 0.0009$). Follow-up t tests confirmed this difference, showing significantly lower correlations for the sighted visual-blind auditory comparison than for both the sighted_auditory-blind_auditory comparison (OSC, $t_{(11)} = 2.22$; p = 0.048; IT, $(t_{(11)} = 2.36; p = 0.038)$ and (at least in OSC) the sighted_visual-sighted_auditory comparison $(OSC, t_{(11)} = 2.86; p = 0.016; IT, (t_{(11)} = 1.43; p = 0.18).$ To provide a further test for the correlation between sighted visual and blind auditory matrices, we also performed the reverse comparison: computing the correlation between each blind participant's neural similarity matrix (blind_auditory) and the group-average neural similarity matrix of the sighted participants' visual experiment (sighted_visual). These correlations were again not significantly different from zero (Fig. 5; r < 0.01; $t_{(12)} < 1$, for both ROIs) even though for the same blind participants the correlation between their (auditory experiment) neural similarity matrices and the groupaverage sighted auditory neural similarity matrix was reliably positive in both OSC (Fig. 5; r = 0.077; $t_{(12)} = 3.31$; $p_{one-tailed} = 0.003$) and IT (Fig. 5; r = 0.081; $t_{(12)} = 3.06$; $p_{one-tailed} = 0.005$). The correlations for the blind_auditory-sighted_visual comparison were (at least in OSC) significantly lower than the correlations for the blind auditory-sighted_auditory comparison (OSC, $t_{(12)} = 3.34$; p =0.006; IT, $(t_{(12)} = 2.07; p = 0.060)$.

To summarize, the neural similarity matrices of OSC and IT showed similarities across modality (within the sighted group) and across blind and sighted groups (within the auditory modality), but not across both modality and group (blind auditory–sighted visual). These results suggest that the auditory experiment in sighted participants activated both visual object representations (uniquely shared with the visual experiment) and nonvisual object representations (uniquely shared with the blind group's auditory experiment).

Dis ussion

We examined whether the occipitotemporal cortex, classically considered the high-level visual cortex, also represents object shape in the absence of prior visual input. Congenitally blind and sighted participants were presented with words referring to 33 common household objects. fMRI activity was measured to each of these objects individually to establish the neural similarity between all pairings of the objects. This neural similarity matrix was then correlated with a shape similarity matrix capturing the pairwise shape similarity of the objects, as rated by an independent group of participants. A positive correlation between these matrices indicates that the neural similarity space in a brain region partly reflects the shape similarity space. Results showed that activity patterns in anatomically defined inferior temporal cortex and functionally defined object-selective cortex, but not occipital cortex, carried information about object shape in both sighted and congenitally blind participants. Finally, a searchlight analysis revealed two regions in left occipitotemporal cortex in which neural similarity reflected shape similarity, both when averaging across groups and in a group conjunction analysis. Together, these results provide evidence that the occipitotemporal cortex, previously linked to visual shape representation, also represents object shape in the absence of vision.

The correlations between individual-participant neural similarity matrices of OSC/IT and shape similarity matrices were consistently positive across participants but were numerically weak (Fig. 3). This is likely a result of noisy activity estimates for individual conditions due to the few repetitions we could include for each of the individual object conditions (16 repetitions per conditions). This notion is supported by the finding of numerically higher correlations between the shape matrix and the groupaverage neural matrices (Fig. 2), with noise expected to cancel out when averaging across participants. Furthermore, individualparticipant correlations in the visual experiment were of the same order of magnitude as correlations in the main (auditory) experiment and were slightly weaker than those reported in previous studies with more powerful designs (Haushofer et al., 2008; Peelen and Caramazza, 2012). Additionally, it is of course likely that shape similarity only explains a small part of neural similarity, with activity patterns in OTC reflecting not just the shape of the objects but several additional object properties as well.

The aim of our study was to test for regions in which multivoxel activity patterns carry information about object shape. Our approach differs from previous studies investigating object processing in blind individuals, as these studies tested for activity increases associated with the active exploration of objects (through tactile or auditory input) relative to control conditions. These studies provided evidence that regions of the high-level visual cortex of congenitally/early blind individuals are activated (nonshape) properties of objects, such as their semantic category (Pietrini et al., 2004; Mahon et al., 2009; He et al., 2013; Peelen et al., 2013). An important avenue for future research is to relate these two lines of research, for example by testing for shape information within regions localized with an active object exploration task.

In our main experiment, participants were presented with the names of objects rather than with tactile or visual input. Still, one possibility is that our results reflected activity in sensory shape representations, with the nature of these representations being different for the two groups (tactile in blind, visual in sighted). Alternatively, our results can be parsimoniously explained by assuming representations of object shape that are not specific to one modality (e.g., reflecting the knowledge that a particular object has an elongated shape; Caramazza et al., 1990). Such amodal representations may be applied to both vision and touch, thus speaking to the intriguing question first posed by Molyneux >300 years ago (Locke, 1690): when a man who is born blind regains sight later in life, would he be able to immediately visually recognize shapes that are known to him by touch? A recent study empirically addressed this question by testing shape matching in congenitally blind participants who had undergone cataract removal surgery (Held et al., 2011). Shortly after treatment, participants were able to accurately match two shapes within the visual modality. They were at chance, however, when matching shapes across visual and tactile modalities. This finding suggests a negative answer to Molyneux's question; although it could be argued that the visual ability in the newly sighted participants may have been insufficient to reach conceptual representations of object shape (they may have used simpler visual cues to perform the visual task). Interestingly, performance on the cross-modal matching task improved dramatically within a week even without explicit training on the task, suggesting rapid cross-modal mappings.

In summary, we found that knowledge of object shape is represented in the occipitotemporal cortex of both sighted and congenitally blind individuals. Our results indicate that bottom-up visual input is not required for establishing object shape representations in OTC. Regions previously assumed to house visual representations of object shape also represent object shape nonvisually, reflecting knowledge of object shape independently of the modality through which this knowledge was acquired.

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