The effect of crowding on orientation-selective adaptation in human early visual cortex

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Crowding is the identification difficulty for a target in the presence of nearby flankers. Based on psychophysical findings, many theories have been proposed to explain crowding at multiple levels. However, little is known about its neural mechanism. In this study, we combined psychophysical and fMRI adaptation techniques to search for the cortical locus of crowding. In the psychophysical experiment, when subjects' attention was controlled, we found that the threshold elevation aftereffect (TEAE) was not affected by crowding, regardless of the contrast level of adapting stimulus. In the fMRI experiment, the orientation-selective fMRI adaptation in V1 was not affected by crowding either. However, downstream from V1, we found that crowding weakened the adaptation effect in V2 and V3. Our results demonstrate that crowding occurs beyond V1 and provide one of the first pieces of direct evidence supporting the two-stage model of crowding (D. M. Levi, 2008).

Keywords: adaptation, contrast, crowding, fMRI, orientation, visual cortex

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Introduction

When a peripheral target is presented with nearby flankers, it is much harder to identify. This phenomenon is referred to as crowding. Crowding is prevalent in spatial vision and occurs under a wide range of conditions and tasks, including Vernier acuity (Levi, Klein, & Aitsebaomo, 1985; Williams & Essock, 1986), stereoacuity (Westheimer & Truong, 1988), orientation discrimination (Westheimer, Shimamura, & McKee, 1976), contrast discrimination (Wilkinson, Wilson, & Ellernberg, 1997), letter recognition (Nandy & Tjan, 2007; Pelli, Palomares, & Majaj, 2004), and face recognition (Louie, Bressler, & Whitney, 2007; Martelli, Majaj, & Pelli, 2005). The importance of studying crowding in vision sciences has recently been recognized more because it may help us understand the processes (e.g., feature integration) critical to object recognition (Levi, 2008).

Although crowding has been extensively studied using visual psychophysics for more than 80 years (Bouma, 1970; Korte, 1923; Stuart & Burian, 1962), little is known about its neural mechanism. Based on psychophysical findings, various theories and ideas have been proposed to explain crowding at multiple levels. The "optics" explanation attributes crowding to the effect of the eye's point spread function when stimuli are small and closely spaced (Hess, Dakin, & Kapoor, 2000; Liu & Arditi, 2000). The receptive field theory suggests that crowding occurs when a target and flankers fall within a single receptive field and reflects pooling of them by the receptive field (Flom, Heath, & Takahashi, 1963). A related idea is that crowding is due to long-range horizontal connections between neurons with similar tuning properties in early visual cortex (e.g., V1) (Gilbert, 1998). On the other hand, attention theories argue that crowding could be ascribed to coarse resolution of spatial attention (He, Cavanagh, & Intriligator, 1996, 1997; Intriligator & Cavanagh, 2001) or unfocussed spatial attention (Strasburger, Harvey, & Rentschler, 1991; Strasburger, 2005). None of these theories could provide an adequate explanation for the large body of existing psychophysical phenomena (see a comprehensive review by Levi, 2008).

A straightforward way to reveal the neural mechanism of crowding is searching for the neural locus of crowding. Surprisingly, to the best of our knowledge, only two fMRI studies, including one published abstract, have been carried out to investigate this issue directly. Arman, Chung, and Tjan (2006) found that crowding could modulate BOLD signal as early as in V2, but not in V1. Fang and He (2008) demonstrated that V1 BOLD signal to the target was not affected by the presence of flankers; instead, the distribution of attentional modulation was influenced by the crowding effect. Thus, both studies suggest that crowding occurs beyond V1, which is consistent with previous psychophysical findings (Chung, Li, & Levi, 2007; He et al., 1996; Liu, Jiang, Sun, & He, 2009; Tripathy & Cavanagh, 2002). On the other hand, Blake, Tadin, Sobel, Raissian, and Chong (2006) showed that the threshold-elevation aftereffect (TEAE) from adapting to a low-contrast grating (not a high-contrast grating) was significantly reduced by crowding and argued that the full-strength TEAE during crowding reported by He et al. (1996) could be explained by the saturation of aftereffect strength at a high adapting contrast level. Their results imply that crowding starts at an early stage of cortical processing (e.g., V1) because the TEAE originates, at least in part, from V1 adaptation.

When we utilize Blake and colleagues' finding to make inferences about the cortical locus of crowding, two issues need to be considered carefully. First, the reduced TEAE at the low adapting contrast level might be attributed to less spatial attention attracted to the target because the presence of flankers reduced its visibility. It has been demonstrated that spatial attention could enhance contrast responses in human visual cortex (Buracas & Boynton, 2007; Li, Lu, Tjan, Dosher, & Chu, 2008) and early visual adaptation (Festman & Ahissar, 2004; Spivey & Spirn, 2000). Second, psycho-

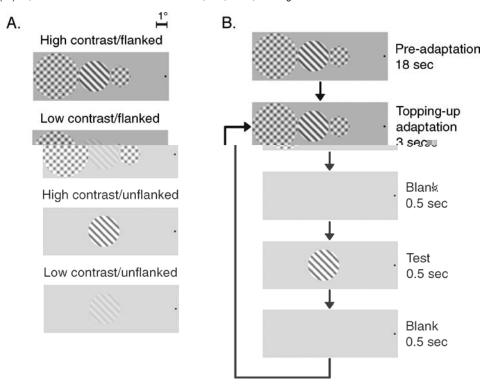


Figure 1. Stimuli and experimental design. (A) Adapting stimuli used in psychophysical and fMRI experiments. A high-contrast (0.9) or low-contrast (0.14) tilted grating as target was presented either in isolation (unflanked condition) or with two plaid flankers (flanked condition). (B) Schematic description of fMRI experiment. With 18-s pre-adaptation at the beginning of each run, a test stimulus was presented for 500 ms after 3 s topping-up adaptation. The test stimulus was either parallel or orthogonal to the adapting (target) stimulus.

trial, 45° and 45° \pm θ ° tilted target gratings were each presented for 200 ms, accompanied by an auditory beep and separated by a 600-ms blank interval. Subjects needed to indicate the rotation direction (clockwise or counterclockwise) from the first grating to the second one. The threshold was measured eight times for each condition. Crowding index was calculated as the ratio of the threshold in the flanked condition to that in the unflanked condition.

In the second psychophysical experiment, to quantify the effect of crowding on orientation-selective TEAE, contrast detection thresholds were measured with a temporal 2AFC QUEST staircase procedure (82% correct). Each staircase started with 18-s pre-adaptation. After a 3-s topping-up adaptation and a 500-ms blank interval, subjects needed to identify in which of the two successive intervals a test grating was presented. The intervals were each presented for 200 ms, accompanied by an auditory beep and separated by a 400-ms blank interval. The test grating could be either parallel or orthogonal to the adapting (target) grating. Its size, spatial frequency, and location were identical to the target grating. The test contrast varied trial by trial, controlled by QUEST. To control attention during adaptation, subjects were asked to perform a fixation task in which they needed to press one of two buttons to indicate a 250-ms luminance change (increase or decrease) of the fixation point as soon as possible. The luminance changes occurred randomly during pre-adaptation and topping-up adaptation periods, with an inter-trial interval drawn from a uniform distribution with a mean of 1.5 s and a range of 1.4–1.6 s. At the end of each topping-up adaptation period, a small arrow at the fixation point directed subjects' attention to the left visual field for detecting the test grating appeared in one of the two intervals. Contrast detection thresholds were also measured before adaptation. The experimental procedure was identical except that the pre-adaptation and the topping-up adaptation were removed. The threshold was measured eight times for each condition. TEAE was calculated as the ratio of the threshold after adaptation to that before adaptation.

The fMRI experiment consisted of 24 adaptation runs, 6 for each adapting stimulus. Each 273-s run consisted of 54 continuous trials and began with an 18-s pre-adaptation (Figure 1B). There were three types of trials—parallel, orthogonal, and blank trials. In the parallel and orthogonal trials, after a 3-s topping-up adaptation and a 0.5-s blank interval, a test stimulus was presented for 0.5 s, followed by a 0.5-s blank interval. The test stimulus was either the same as the target grating (parallel trial) or generated by rotating the target grating by 90° (orthogonal trial). The

test contrast was equal to the target contrast. In the blank trials, the 3-s topping-up adaptation was followed by a 1.5-s blank interval.

For each adapting stimulus, there were a total of 18×6 trials, 108 for each type of trial. The order of the three types of trials (parallel, orthogonal, and blank) was counterbalanced across 6 adaptation runs using M-sequences (Buracas & Boynton, 2002). These are pseudo-random sequences which have the advantage of being perfectly counterbalanced n trials back, so that each type of trial was preceded and followed equally often by all types of trials, including itself.

To control attention during adaptation, subjects performed a fixation task in which they needed to press one of two buttons to indicate a 250-ms luminance change (increase or decrease) of the fixation point as quickly as possible. The luminance changes occurred randomly through the whole run, with an inter-trial interval drawn from a uniform distribution with a mean of 1.5 s and a range of 1.4–1.6 s.

Retinotopic visual areas were defined by a standard phase-encoded method developed by Sereno et al. (1995) and Engel, Glover, and Wandell (1997), in which subjects viewed rotating wedge and expanding ring stimuli that created traveling waves of neural activity in visual cortex. Three checkered patches flickering in counterphase at 2 Hz were used in a block-design run to localize three regions of interest (ROI) corresponding to the locations of the target and distractors in the adaptation runs. The patches were at full contrast and had the same size as the target and flankers. The ROI run consisted of five cycles, and each cycle consisted of four 12-s blocks for presenting the three patches and a blank interval. This run started with a 12-s blank interval.

MRI data acquisition

In the scanner, the stimuli were back-projected via a video projector (refresh rate: 60 Hz; spatial resolution: 1024×768 ; mean luminance: 90 cd/m^2) onto a translucent screen placed inside the scanner bore. Subjects viewed the stimuli through a mirror located above their eyes. The viewing distance was 80 cm. Functional MRI data were collected using a 3-T Siemens Trio scanner with a 12-channel phase-array coil. BOLD signals were measured with an EPI sequence (TE: 30 ms; TR: 1500 ms; FOV: $196 \times 196 \text{ mm}^2$; matrix: 128×128 ; flip angle: 90; slice

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 $A_{\rm Parallel}$) / ($A_{\rm Orthogonal} + A_{\rm Parallel}$), where $A_{\rm Orthogonal}$ is the mean amplitude of the responses to the orthogonal test stimulus and $A_{\rm Parallel}$ is the mean amplitude of the responses to the parallel test stimulus. This index could range from -1 to 1 and was positive whenever the mean response to the orthogonal test stimulus was greater than the mean response to the parallel test stimulus. A large adaptation index of an ROI means a strong adaptation effect.

Results

Behavioral results

Subjects' responses to the luminance change at the fixation point were both accurate and fast, indicating that they followed our instruction. Their reaction time (RT) and correct rate (CR) were categorized into several groups according to the type of adapting stimulus in the psychophysical experiment and the trial type in the fMRI experiment. There was no significant difference in RT and CR between any pair of groups in both the psychophysical and fMRI experiments. This result suggests that subjects' general attentional state did not differ across different conditions.

We estimated crowding strength by measuring orientation discrimination thresholds for a test grating in the unflanked and flanked conditions. The strength was quantified by crowding index, the ratio of the discrimination threshold in the flanked condition to that in the unflanked condition. Both indices for the high- and low-contrast target conditions were significantly larger than one (contrast 0.9: t(4) = 42.483, p < 0.001; contrast 0.14: t(4) = 33.188, p < 0.001), but there was no significant difference between them (t(4) = 2.587, p = 0.061) (Figure 2A). This result suggests that adding flankers induced a crowding effect and impaired orientation discrimination to the target, regardless of the contrast level of the target.

The effect of crowding on orientation-selective adaptation was estimated by measuring TEAEs in the unflanked and flanked conditions. Figure 2B shows that adapting to a parallel target grating significantly increased the contrast detection threshold. The TEAE from high-contrast adaptation was significantly higher than that from low-contrast adaptation (unflanked condition: t(4) = 6.548, p < 0.01; flanked condition: t(4) = 8.091, p < 0.001), which is consistent with previous reports (Blake et al., 2006; Sclar, Lennie, & DePriest, 1989). However, when subjects' attention was controlled, we failed to find significant difference between the unflanked and flanked conditions not only in the high-contrast adaptation condition (t(4) =1.08, p = 0.341) but also in the low-contrast adaptation condition (t(4) = 1.483, p = 0.212). We also measured contrast detection thresholds after adapting to an orthogonal

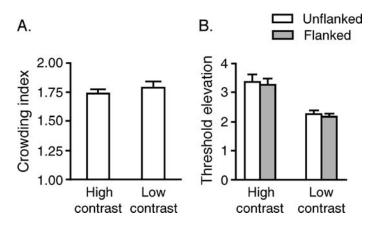


Figure 2 . Psychophysical results. (A) Crowding indices for highand low-contrast targets. Crowding index was defined as the ratio of the orientation discrimination threshold when a target was presented with flankers (flanked condition) to that when the target was presented in isolation (unflanked condition). (B) TEAEs after adapting to a high- or low-contrast target when the target was presented either in isolation (unflanked condition) or with two plaid flankers (flanked condition). TEAE is defined as the ratio of contrast detection threshold after adaptation to that before adaptation. Error bars denote 1 *SEM* calculated across subjects.

target grating. The TEAEs were very small, and no effect of crowding in either the high- or low-contrast adaptation conditions was found.

fMRI results

Figures 3 and 4 show the averaged fMRI results for the high- and low-contrast adaptation conditions, respectively. All subjects showed a very consistent pattern. The signals were from the ROIs in V1 and V2/V3 corresponding to the target location and peaked at 4.5 or 6 s after test stimulus onset (Figures 3A and 4A). For all adaptation conditions (high/low contrast and unflanked/flanked), fMRI adaptation effects were evident in both V1 and V2/V3—the signal in the orthogonal trials was significantly higher than that in the parallel trials (all t(4) > 3.943, p < 0.017).

It was of interest to us how crowding affected orientation-selective fMRI adaptation. fMRI adaptation effect was quantified in two ways—amplitude difference between the parallel and orthogonal trials and adaptation index (Figures 3B and 4B). In V1, no significant difference in adaptation effect was found between the unflanked and flanked conditions (high contrast/amplitude difference: t(4) = 0.528, p = 0.625; high contrast/adaptation index: t(4) = 0.41, p = 0.703; low contrast/adaptation index: t(4) = 0.363, p = 0.773; low contrast/adaptation index: t(4) = 0.363, p = 0.735). However, in V2/V3, the adaptation effects in the unflanked condition were significantly stronger than those in the flanked condition, regardless of the adaptation contrast (high contrast/amplitude

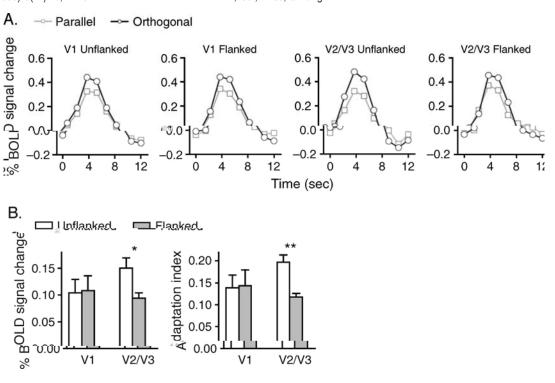


Figure 3. fMRI results for the high-contrast adaptation condition. (A) Time courses of BOLD signals in V1 and $\sqrt{2}$ /V3 evoked by orthogonal and parallel test stimuli for the unflanked and flanked conditions. (B) fMRI adaptation effects in V1 and $\sqrt{2}$ /V3 for the unflanked and flanked conditions as measured by the peak signal difference between the orthogonal and parallel test stimuli (left panel) and adaptation index (right panel). Asterisks indicate a statistically significant difference between the fMRI adaptation effects in the unflanked and flanked conditions (*p < 0.05; **p < 0.01). Error bars denote 1 SEM calculated across subjects.

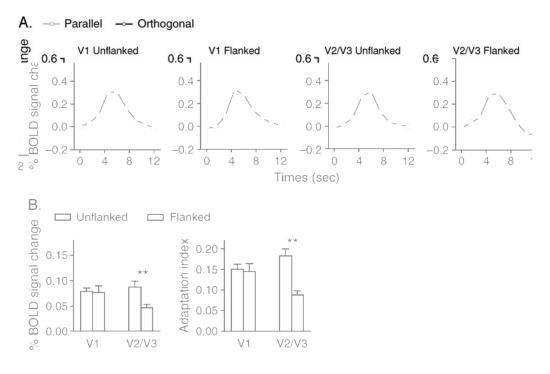


Figure 4. fMRI results for the low-contrast adaptation condition. (A) Time courses of BOLD signals in V1 and V_2 /V3 evoked by orthogonal and parallel test stimuli for the unflanked and flanked conditions. (B) fMRI adaptation effects in V1 and V_2 /V3 for the unflanked and flanked conditions as measured by the peak signal difference between the orthogonal and parallel test stimuli (left panel) and adaptation index (right panel). Asterisks indicate a statistically significant difference between the fMRI adaptation effects in the unflanked and flanked conditions (*p < 0.05; **p < 0.01). Error bars denote 1 SEM calculated across subjects.

difference: t(4) = 4.214, p < 0.05; high contrast/adaptation index: t(4) = 5.661, p < 0.01; low contrast/amplitude difference: t(4) = 6.633, p < 0.01; low contrast/adaptation index: t(4) = 7.297, p < 0.01).

The above statistical analyses suggested that the adaptation effect in V2/V3, but not in V1, could be affected by crowding. To further examine the interaction of visual area and crowding condition, we performed two-way repeated-measures ANOVAs (visual area [V1 vs. V2/V3] \times crowding condition [unflanked vs. flanked]) for both the high- and low-contrast adaptation conditions. The interaction effects were significant for both conditions (high contrast/amplitude difference: F(1,5) = 10.418, p < 0.05; high contrast/adaptation index: F(1,5) = 19.336, p < 0.05; low contrast/amplitude difference: F(1,5) = 10.237, p < 0.05; low contrast/adaptation index: F(1,5) = 16.192, p < 0.05).

We also examined fMRI signals from the ROIs corresponding to the locations of the flankers. The signals were very weak and no adaptation effect was observed. This was not surprising because the test stimuli presented at the target location were not expected to activate these ROIs.

Discussion

We show that when subjects' attention was controlled, orientation-specific TEAE was not affected by crowding regardless of the contrast level of the adapting stimulus. The adapting contrast levels (0.9 and 0.14) here were the same as those in Blake et al. (2006; personal communication). More important, we could replicate the findings in Blake et al. if subjects were not asked to do the fixation task and were allowed to deploy their attention freely. Data from two representative subjects are presented in Figure 5. These results suggest that the reduced TEAE from adapting to a crowded low-contrast grating observed by Blake et al. might be explained by less attention to the grating.

At the cortical level, the orientation-selective adaptation in V1 was not affected by crowding for both the high- and the low-adapting contrast levels, which was parallel to the psychophysical results. This reflects a close relation between orientation-specific TEAE and orientation-selective fMRI adaptation in V1 (Fang et al., 2005), which is in line with the general belief that TEAE arises from neural activities within V1 (Movshon & Lennie, 1979). Downstream from V1, we found that crowding weakened the adaptation effect in V2 and V3. Arman et al. (2006) varied the distance between the target and flankers to manipulate the strength of the crowding effect. They found that this manipulation affected the overall V2 (but not V1) response to the target and the flankers, which is consistent with our results.

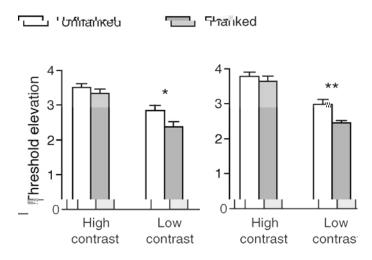


Figure 5. TEAEs from two subjects after adapting to a high- or low-contrast target in the unflanked and flanked conditions. No fixation task was needed to be performed by the subjects. Asterisks indicate a statistically significant difference between the threshold elevations in the unflanked and flanked conditions (*p < 0.05; **p < 0.01). Error bars denote 1 SE.

Since the psychophysical adaptation effect is parallel to the fMRI adaptation effect in V1, rather than V2/V3, a related question is how V1 activity can influence behavior in some way that "bypasses" V2/V3. Currently, we do not have a definite answer to this question. However, our study is not the only study showing that V1 activity, not V2/V3, is closely correlated with behavior. Similar phenomena can be found in many other fMRI studies regarding oblique effect (Furmanski & Engel, 2000), perceptual learning (Furmanski, Schluppeck, & Engel, 2004), surround suppression (Zenger-Landolt & Heeger, 2003), and bistable vision (Fang, Kersten, & Murray, 2008). A tentative answer is that the visual system might rely on the most informative cortical area to make a behavioral decision (e.g., contrast information in V1).

It should be noted that there were some differences (i.e. contrast, frame rate, stimulus duration, and luminance) between the stimuli used in the psychophysical and the fMRI experiments. Ideally, identical stimuli should be used in both experiments. Below we explain why different stimuli were used and why the differences would not affect our conclusion. Regarding the contrast difference, test stimuli with near-threshold contrast were used in the psychophysical experiment, but test stimuli with suprathreshold contrast were used in the fMRI experiment. Contrast threshold elevation with near-threshold test stimuli after adaptation is a well-established and understood phenomenon that supports the existence of orientation-tuned neurons in the visual system. Since both contrast threshold elevation and fMRI adaptation indirectly measured neural activities of different orientationtuned neurons, we feel it is reasonable to compare the psychophysical and fMRI results. Why then did not we use supra-threshold test stimuli in the psychophysical experiment? Blakemore, Muncey, and Ridley (1973) and Blakemore and Nachmias (1971) have shown that, after adaptation, both contrast threshold elevation with nearthreshold test stimuli and loss of perceived contrast with supra-threshold test stimuli are tuned to the adapting orientation. However, the issue of whether contrast adaptation can reduce perceived contrast of test stimulus has been the subject of considerable debate (Barrett, McGraw, & Morrill, 2002; Ross & Speed, 1996; Snowden & Hammett, 1992). Contrast matching performance most likely involves mechanisms beyond V1. For example, the perceived contrast of a pair of 5 and 20 cpd gratings both at 100% contrast are quite well matched even though contrast sensitivities are very different for these two gratings (the so-called contrast constancy phenomenon), yet V1 response is much higher to the 5-cpd grating than to the 20-cpd grating (De Valois & De Valois, 1990). It seems reasonable, for this particular experiment, to use a manipulation that is well understood and more closely related to the sensitivities of the early visual cortex. Why did not we use near-threshold test stimuli in the fMRI experiment? Such a stimulus would be too weak to evoke detectable fMRI signal when subjects do not pay attention to it (Buracas & Boynton, 2007; Murray, 2008). Regarding the differences in frame rate and stimulus duration, we collected TEAE data from two subjects under the condition of 65-Hz frame rate and 500-ms test duration, which showed a very similar pattern to those under the condition of 80-Hz frame rate and 200-ms test duration. Thus, frame rate and duration did not matter in our study. Regarding the luminance difference, the monitor luminance in the psychophysical experiment was lower than the projector luminance in the fMRI experiment. To investigate if a different luminance level could change our conclusion, we re-measured the TEAE under several luminance conditions, with one luminance condition close to the projector luminance. Our data showed that the variation of luminance level did not change our conclusion.

There seems to be a growing consensus for a two-stage model of crowding (Pelli & Tillman, 2008)—feature detection, perhaps in V1 (Li, 2002), and feature integration or interaction downstream from V1. In crowding, the features in the target and flankers could be detected normally, but they are combined into a jumbled percept in the second stage. Our study suggests that crowding might be inaugurated in V2 and V3 and thus provides one of the first pieces of direct evidence supporting this model. V4 has been proposed to be a potential locus of crowding (Levi, 2008) because of its critical role in feature integration (Desimone & Duncan, 1995) and of the similarity between its receptive field size/anisotropy and the spatial extent of crowding (Pinon, Gattass, & Sousa, 1998; Toet & Levi, 1992). In this study, although we could not measure responses in more anterior visual areas beyond V3 for the reason that increasing receptive field sizes greatly reduced signal from the ROI run (Furmanski et al., 2004), it would

not be surprising to find a crowding effect in V4 simply considering its inheritance from earlier visual cortical areas.

As mentioned above, crowding occurs under a wide range of conditions and tasks. It should be noted that, although our data suggest V2/V3 as a cortical locus of crowding, it is important to test if our conclusion can be generalized to other conditions. Psychophysical evidence has suggested that crowding occurs at multiple stages in the visual system. For example, Louie et al. (2007) demonstrated a holistic crowding between high-level face representations, which suggested that face-selective areas (e.g., FFA) might play a role in this kind of crowding. In the future, complementary to psychophysical studies, more brain imaging and single-unit studies with various kinds of stimuli are needed to be carried out to obtain a full understanding of crowding—a bottleneck to object recognition.

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