



# Can We Retrieve the Information Which Was Intentionally Forgotten? Electrophysiological Correlates of Strategic Retrieval in Directed Forgetting

Xinrui Mao<sup>1,2</sup>, Mengxi Tian<sup>1</sup>, Yi Liu<sup>1</sup>, Bingcan Li<sup>1</sup>, Yan Jin<sup>2</sup>, Yanhong Wu<sup>2</sup>\* and Chunyan Guo<sup>1,3</sup>\*

- <sup>1</sup> Beijing Key Laboratory of Learning and Cognition, Department of Psychology, Capital Normal University, Beijing, China,
- <sup>2</sup> School of Psychological and Cognitive Sciences, Peking University, Beijing, China, <sup>3</sup> Beijing Advanced Innovation Center for Imaging Technology, Capital Normal University, Beijing, China

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## \*Correspondence:

Chunyan Guo guocy@cnu.edu.cn Yanhong Wu wuyh@pku.edu.cn

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Mao X, Tian M, Liu Y, Li B, Jin Y, Wu Y and Guo C (2017) Can We Retrieve the Information Which Was Intentionally Forgotten? Electrophysiological Correlates of Strategic Retrieval in Directed Forgetting. Front. Psychol. 8:1480. doi: 10.3389/fpsyg.2017.01480 Retrieval inhibition hypothesis of directed forgetting effects assumed TBF (to-beforgotten) items were not retrieved intentionally, while selective rehearsal hypothesis assumed the memory representation of retrieved TBF (to-be-forgotten) items was weaker than TBR (to-be-remembered) items. Previous studies indicated that directed forgetting effects of item-cueing method resulted from selective rehearsal at encoding, but the mechanism of retrieval inhibition that affected directed forgetting of TBF (tobe-forgotten) items was not clear. Strategic retrieval is a control process allowing the selective retrieval of target information, which includes retrieval orientation and strategic recollection. Retrieval orientation via the comparison of tasks refers to the specific form of processing resulted by retrieval efforts. Strategic recollection is the type of strategies to recollect studied items for the retrieval success of targets. Using a "directed forgetting" paradigm combined with a memory exclusion task, our investigation of strategic retrieval in directed forgetting assisted to explore how retrieval inhibition played a role on directed forgetting effects. When TBF items were targeted, retrieval orientation showed more positive ERPs to new items, indicating that TBF items demanded more retrieval efforts. The results of strategic recollection indicated that: (a) when TBR items were retrieval targets, late parietal old/new effects were only evoked by TBR items but not TBF items, indicating the retrieval inhibition of TBF items; (b) when TBF items were retrieval targets, the late parietal old/new effect were evoked by both TBR items and TBF items, indicating that strategic retrieval could overcome retrieval inhibition of TBF items. These findings suggested the modulation of strategic retrieval on retrieval inhibition of directed forgetting, supporting that directed forgetting effects were not only caused by selective rehearsal, but also retrieval inhibition.

Keywords: directed forgetting, strategic retrieval, ERP, retrieval inhibition, retrieval orientation

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# INTRODUCTION

Forgetting must be an e cient way to prevent irrelevant details from interfering with knowledge learning. Unfortunately, it is not always easy to figure out whether the forgotten information is worth remembering. Sometimes, during the examination, you might find a few questions hard to answer, because certain knowledge was intentionally ignored in learning. You realize that you have to use strategies to recall the useful information which you have directly forgotten. So, how do we retrieve the information which was intentionally forgotten?

Directed forgetting (DF) e ects were demonstrated by lower memory performance of TBF items than TBR items (Van Hoo et al., 2009). In previous studies, item-cueing method was used to explore intentional forgetting in experiments (Hockley et al., 1998). At the study phase of item-cueing method, two explicit cues instructed subjects to remember the TBR (to-beremembered) items and to forget TBF (to-be-forgotten) items respectively, following the presented items. At the test phase, TBR items were better recalled than TBF items, which is called DF e ect of item-cueing method. This method of DF was involved with two hypotheses. The selective rehearsal hypothesis suggested that this e ect is stemmed entirely from the diminished elaboration or rehearsal of TBF rather than TBR words at encoding phases (Levy and Anderson, 2008; Mecklinger et al., 2009; Xiao et al., 2012). That is, DF weakens the memory representation of TBF items at encoding phases, so that the di culty of retrieval increased. Alternately, some ERP evidence suggested that learning instruction of DF blocked retrieval processes of TBF items, therefore supporting retrieval inhibition hypothesis (Hockley et al., 1998; Ullsperger et al., 2000; Racsmány and Conway, 2006; Van Hoo et al., 2009; Xiao et al., 2014). This means that the TBF items evoked cognitive control to inhibit retrieval processes, causing the low accuracy of TBF items.

According to the selective rehearsal hypothesis, some studies indicated that shallow encoding items caused the absence of late parietal old/new e ect (lidaka et al., 2006; Marzi and Viggiano, 2010). Curran (2004) found that late parietal old/new e ects were reduced by divided attention, but early frontal old/new e ects were not a ected. One possible explanation is that the di erent patterns of retrieval between TBF items and shallow encoding items share the same memory process. However, Ullsperger et al. (2000) found that compared to shallowly encoded items, correctly recognized TBF words resulted in a qualitatively di erent pattern of the old/new e ect. Recognition tests revealed that both deeply and shallowly encoded items elicited phasic frontal and parietal old/new e ects, whereas TBF items showed less early frontal activity and the absence of the old/new e ect at parietal sites. The retrieval processes of TBF items seemed to become inhibited, and less accessible, therefore, more di cult to retrieve. Thus, the current study explored whether the absence of late parietal old/new e ect was solely due to weak memory encoding, or was caused by the additional role of retrieval inhibition processes when items followed by TBF instruction.

The investigation of strategic retrieval for TBF items could assist to explore whether the increased disculty of TBF items was caused by inhibition retrieval processes. Strategic retrieval

processes were defined as controlled processes, allowing the selective retrieval of information that was relevant to a specific situation and to the specific memory judgment (Moscovitch and Melo, 1997; Herron and Wilding, 2005). The exclusion memory task is a common paradigm to investigate strategic retrieval because subjects are required to identify target information and reject non-target information, forcing the use of strategic retrieval to retrieve more target information (Jacoby, 1991). In the exclusion task, subjects learned items in di erent (two or more) conditions at study phase. At test phase, subjects were asked to identify items of one target condition and to reject items of the other condition(s) as well as new items.

Results from recent ERP studies using the memory exclusion task suggested that strategic retrieval included two kinds of processes: retrieval orientation and strategic recollection (Rosburg et al., 2011a,b). Retrieval orientation is the specific form of processing which is applied to a retrieval cue when specific episodic information was targeted, and this process depends on retrieval di culty (Rugg and Wilding, 2000). Comparing di cult retrieval tasks with simple tasks, di cult retrieval tasks demand more intentional e orts to complete memory search. The larger ERP di erences between conditions were associated with higher levels of retrieval di culty, which suggested that retrieval e orts modulated this retrieval orientation e ect (Rosburg et al., 2011a). Therefore, the di erence of retrieval orientation between these two tasks reflected the levels of retrieval e orts. Usually, studies on retrieval orientation focused on cortical responses to new items, because the processing of new items was assumed to be una ected by retrieval success. The comparison between the tasks of old items is not only a ected by the level of retrieval e orts but also a ected by whether old items are retrieved successfully, because this comparison mixed memory trace with retrieval e orts. In summary, the comparison of new items avoid contamination of retrieval success.

On the other hand, the strategic recollection was defined as the controlled memory retrieval which strategically minimized the retrieval e orts to optimize the retrieval success (Rosburg et al., 2011b). Herron and Rugg (2003) pointed that strategic recollection was determined by the retrieval di culty of target information. The strategic recollection consists of two strategies: recall-to-reject strategy and task-specific strategy.

Recall-to-reject strategy is the retrieval of non-target source information that is potentially beneficial, because it could promote a swift rejection decision for non-target information in a memory exclusion task (Clark, 1992). When target accuracy was low (indicating di cult retrieval), subjects tended to use the recall-to-reject strategy. The retrieval of non-target information was resulted by di cult retrieval of target information, because non-target information could provide more reliable information for classifying items as targets and non-targets (Dzulkifli and Wilding, 2005; Wilding et al., 2005; Dzulkifli et al., 2006).

Alternatively, the task-specific retrieval strategy prevented the retrieval of non-target information in order to enhance the retrieval processes of specific target information. That is, subjects might endorse a source-specifying item as the target and reject all other available items (Herron and Rugg, 2003). When target accuracy was high (indicating easy retrieval), subjects

tended to use the task-specific retrieval strategy. This retrieval strategy focuses on target information and is an e-cient way for subjects to identity target items, because low retrieval di-culty target information easily captures cognitive resources, and the rejection of all other items avoided the interference of unrelated information.

Such strategic control processes in retrieving target information might be involved in overcoming memory interference, demonstrating that strategic retrieval benefit for the retrieval processes of target information (Bergström et al., 2012). As control processes of retrieval, strategic retrieval may a ect the retrieval processes of TBF items rather than memory representation. Retrieval inhibition of DF was a process to suppress retrieval of TBF items and to selectively retrieve TBR items. In contrast, when TBF items were retrieval target, TBF items which should have been inhibited in retrieval process were retrieved selectively. Therefore, the top-down control of strategic retrieval converts TBF items into retrieval targets and overcome their retrieval inhibition.

Recognition retrieval was involved with the early frontal old/new e ect and the late parietal old/new e ect. Based on dual-process framework, recognition memory includes two processes—familiarity which is a fast and automatic process underpinning a general feeling of prior occurrence, and recollection which is a slower process supporting conscious retrieval of specific episodic details (Yonelinas, 2002). The early frontal old/new e ect (a positive shift or reduction in negativity in frontal regions at  $300\sim500$  ms) indexed familiarity and the late parietal old/new e ect (a positive component in posterior regions at  $500\sim800$  ms) indexed recollection (Curran, 2000; Curran and Hancock, 2007; Diana et al., 2007; Rugg and Curran, 2007).

Normally, strategic recollection is associated with the late parietal old/new e ect: this old/new e ect of non-targets was smaller than the e ect of targets, when task-specific strategy was used; this old/new e ect of non-targets was the same as the e ect of targets, when recall-to-reject strategy was used (Herron and Rugg, 2003; Wilding et al., 2005; Rosburg et al., 2011b). In the process of strategic retrieval, the late parietal old/new e ect in response to targets is considered a reliable measurement for strategic recollection. For retrieval orientation, ERPs of new items were more positive than old items from 600 to 1100 ms when items of the di cult retrieval were targeted (Rosburg et al., 2011a).

Our current study aimed at clarifying the performance of strategic retrieval in item-method DF paradigm. The first goal of our study was to explore whether TBF items could be strategically retrieved, which assisted in proving the hypothesis of retrieval inhibition. If TBF items were retrieval targets and they evoked no ERP old/new e ects, then, DF e ects might be elicited by weak encoding representation alone. In that case, the memory strength of TBF items would be too weak to retrieve the items, thus supporting that DF e ects in item method were only based on selective rehearsal hypothesis. If TBF items were retrieval targets and they could elicit ERP old/new e ects, DF e ects might be elicited by retrieval inhibition. Strategic retrieval could alleviate inhibition to reactivate memory representation of TBF items, therefore

supporting that the retrieval inhibition hypothesis also explains the DF e ects.

The second aim of our study was to investigate the mechanism of strategic retrieval (including retrieval orientation and strategic recollection) for TBF items, and if they could be strategically retrieved. Previous evidence indicated that the di culty of retrieval modulated the potential impact of retrieval e orts on retrieval orientation (Rosburg et al., 2014). Since the di culty of target retrieval was enhanced by DF instruction. participants would retrieved TBR targets more accurately than TBF targets. Therefore, we hypothesized that ERPs to new items were more positive when TBF items were targeted than when TBR items were targeted. This correlation of retrieval orientation reflected that TBF items which were targeted demanded more e orts to retrieval. For strategic recollection, we hypothesized that TBR items, but not TBF items, would be retrieved as nontargets, because the retrieval of TBR items was expected to be easier than the retrieval of TBF items, which would elicit the recall-to-reject strategy in the current design. We also predicted that when TBF items which were targeted (vs. TBR items which were targeted), strategic recollection evoked the late parietal old/new e ects for both target and non-target retrieval.

# **MATERIALS AND METHODS**

# **Participants**

Twenty one paid volunteers participated in the study, all were native Chinese students (13 women and 8 men) aged 20–30 years (mean age, 23.8 years) from Capital Normal University (Beijing, China). All subjects were right-handed, with normal or corrected to normal vision, and no reported history of psychiatric or neurological disorders, head injury, or psychotropic drug use. Only one male participant was excluded due to excessive artifacts (artifact-free ERP trials were less than 18 in some conditions), leaving a final sample of 20 participants. Each subject signed an informed consent form for the experimental protocol, which was approved by the Capital Normal University Human Research Committee.

#### **Materials**

Two-character Chinese nouns (360 in total) were used as stimuli [mean total number of strokes: 16.51 (ranging from 5 to 35), mean word frequency: 16.50 (ranging from 2.3) to 99.7) occurrences per million words (Liu et al., 1990)]. Another fifteen adult native Chinese speakers (an independent sample; seven men) rated the concreteness of these nouns. The concreteness ratings (from 1/extremely abstract to 7/extremely concrete) confirmed that the set of nouns was concrete nouns (Mean = 6.33, ranging from 5.38 to 6.92). All 360 nouns were randomly separated into three equal sets (120 nouns for TBR words, 120 nouns for TBF words and 120 nouns for unstudied words). The 120 TBR words and 120 TBF words were used as "old" (studied), and the other 120 nouns were used as "new" (unstudied) items at the test. The items of the three sets were randomly arranged to have equivalent concreteness, number of strokes or word frequency. There were four study blocks and four test blocks in each session. Two test blocks were designed to use TBR words as retrieval targets (TBR\_T condition), the other two test blocks were designed to use TBF words as retrieval targets (TBF\_T condition). The order of test conditions was counterbalanced across individuals (test blocks and study blocks were correlated). In each study phase, there were 30 TBR words and 30 TBF words. In each of the test phrases, there were 30 targets to be identified, and 30 old items of non-targets, together with 30 new items that had to be rejected.

# **Procedure**

The present study used a DF paradigm as well as a memory exclusion task. Item-cueing method, a typical method of "DF paradigm," which presented the cue following each item, was used in our experiment. Participants were seated 75 cm from a Dell monitor in an electrically shielded room wherein they performed the experimental tasks. After a short practice block, participants undertook the experiment, which consisted of four study blocks and four test blocks, all four blocks of study phase were followed by four blocks of test phase. There was a 2 min rest period between study blocks or between test blocks, while between study and test blocks, there was a 5 min rest period. Four study blocks were presented at first. During the study phase, subjects did not know the instructions of following tests. Two of test blocks belonged to TBR item target (TBR\_T) condition; the other two blocks belonged to TBF item target (TBF T) condition. Subjects were tested with blocks of TBR\_T before TBF\_T, in order to prevent from trying to remember the TBF items. An EEG was recorded throughout the sessions (Figure 1). During the study phase, each trial began with a fixation cross (1000 ms) that was followed by a noun (extending a 3.51° × 1.83° visual area), which was centrally presented for 1500 ms, then an empty screen appeared for 1500 ms. After that, instruction (TBR vs. TBF) was presented for 1500ms, and ended up with an empty screen for 1000 ms. All stimuli were presented in white against a black background. The order of trials was pseudo-random with each type of instruction (TBR vs. TBF) appearing in no more than three consecutive trials. Participants were explicitly instructed to follow the instructions to either remember the nouns or to forget them. After the study phase had been completed, subjects were informed of the testing instruction. At the test, participants were tested in a memory exclusion task with the target category switching after half of the blocks: in the TBR item target (TBR\_T) condition, participants had to identify the TBR words and to reject TBF words together with new words. In the TBF item target (TBF\_T) condition, participants had to identify TBF words and to reject TBR words together with new words. As illustrated in **Figure 1**, noun presentation did not di er between the two test conditions. Participants were instructed to respond as fast and accurately as possible. In the test phase, trials started with a fixation cross, lasting for 1000-1500 ms. Then, the tested items were presented for 2500 ms. Participants responded by pressing the letters "F" and "J" on a computer keyboard with the left and right index finger. The assignment of the key to the response category (Targets vs. Non-targets) was balanced across participants. The whole experiment took about 2.5 h (including preparation time for EEG recording).

# **ERP Recording and Analyses**

For each test condition, the discrimination index (Pr) was quantified as the di erence between the hit rate (P\_target) and the false alarm rate to non-targets (P\_false alarm; Snodgrass and Corwin, 1988), with TBR\_T and TBF\_T test conditions. Behavioral responses were compared between the two conditions by means of paired t-tests and repeated measure analysis of variance (ANOVA). Target items given target responses were deemed to be "target hit" responses; non-target items given nontarget responses were considered "non-target hit" responses; and new items given non-target responses were considered "correctly rejection." Target items given non-target responses were deemed to be "miss" responses; non-target items given target responses were considered "non-target false alarm" responses; and new items given target responses were considered "target false alarm." The hit rate was calculated as the ratio of the number of hit over its number of items, and the false alarm rate was calculated as the ratio of the number of false alarm over its number of items (Mollison and Curran, 2012). TBR items was considered as retrieval targets for the TBR\_T test conditions, and TBF items was considered as retrieval targets for TBF\_T test conditions. The data of RTs was log transformed to analyze.

In order to analyze strategic recollection, old/new e ects for targets and non-targets were compared between the two conditions. In the TBR\_T condition, old/new e ects of TBR items which were identified as targets were compared with those of TBF items that were identified as non-targets. In the TBF\_T condition, old/new e ects of TBF items which were identified as non-targets were compared with those of TBR non-targets. Mean ERP amplitudes were extracted from two time windows (300~450, 450~650 ms after test item onset) to estimate the oldnew e ect as indexed by the early frontal old/new e ect and late parietal old/new e ect. The time windows were selected based on both visual inspection of the grand average ERP waveform and previous ERP literatures on familiarity (early frontal old/new e ect) and recollection (late parietal old/new e ect; Rugg and Curran, 2007). Electrodes were selected a priori to form two regions of interest (ROIs) centered around midline frontal and parietal sites that best capture early frontal old/new e ects and late parietal old-new e ects, with early frontal old/new e ects for anterior sites and late parietal old/new e ects for posterior sites (Anterior sites: F3, F4, Fz, FC3, FC4, FCz; Posterior sites: P3, P4, Pz, PO3, PO4, POz). In order to explore the retrieval orientation e ect, ERPs to new items were contrasted between the TBR\_T and TBF\_T conditions. Based on previous ERP studies of retrieval orientation (Rosburg et al., 2013), we focused on the time window between 700 and 900 ms. In order to assess the topography of the retrieval orientation e ect, ERP data of new items were entered in an ANOVA with Condition (TBR\_T

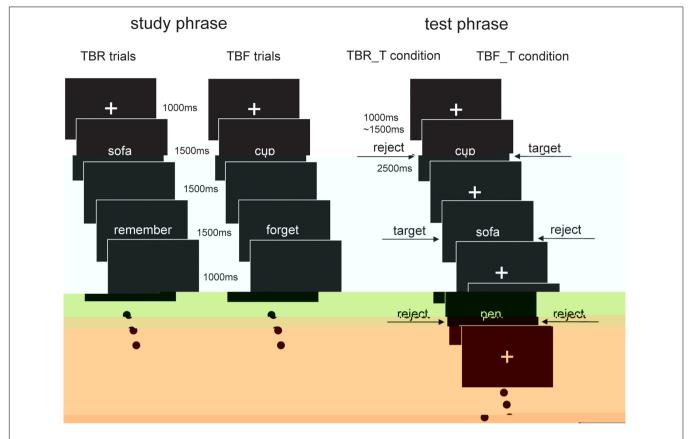


FIGURE 1 | Experimental paradigm. In our experiment, participants completed a directed forgetting paradigm at study phase, as well as a memory exclusion paradigm at test phase.

EEG signals were referenced to the left mastoid during recording and re-referenced o ine to the average of the left and right mastoid recordings. EEG/EOG signals (impedance  $<5~\text{k}\Omega$ ) were digital bandpass filtered from 0.05 to 40 Hz, segmented around image onset ( $-100~\sim1000~\text{ms}$ ) and corrected to a 100 ms prestimulus baseline. Trials with EEG voltages exceeding  $\pm~75~\mu\text{V}$  were excluded from analysis. EOG blink artifacts were corrected using a linear regression estimate. Experiment presentation was executed using Presentation (Neurobehavioral Systems, Inc). Data collection was performed using Neuroscan acquisition software, and statistical analysis was performed in SPSS 20.0.

## **RESULTS**

## **Behavioral Data**

The analysis of the behavioral data of the test phase revealed a higher hit rate for targets in the TBR\_T condition [TBR\_T -TBF\_T = 0.09 (0.03); t(19) = 2.87, p < 0.05], with higher rates of false alarms for targets in the TBF\_T condition [TBR\_T -TBF\_T = -0.13 (0.04); t(19) = -3.37, p < 0.01]. As a consequence, the discrimination index (Pr) was higher for the TBR\_T condition than the TBF\_T condition [TBR\_T -TBF\_T = 0.21 (0.04); t(19) = 5.23, p < 0.001]; thus, participants

are demonstrated with a liable DF e ect. In addition, the percentage of correctly rejected new items was higher when TBR items were targeted [TBR\_T -TBF\_T = 0.12 (0.04); t(19) = 3.24, p < 0.01].

The RTs (reaction times) di ered between test conditions for targets and new items of correct responses, with faster responses for the TBR T condition than the TBF T condition [targets: TBF T -TBR T = 0.09 (0.08); new items: TBF T -TBR\_T = 0.54 (0.48); t(19) > 4.90, p < 0.001]. In the TBR\_T condition, responses were fastest for new items than both nontargets and targets [non-target - new = 0.11(0.05); target new = 0.08 (0.04); t(19) > 9.03, ps < 0.001]. In the TBF\_T condition, responses were also fastest for new items, than both non-targets and targets [non-target – new = -0.37 (0.46); targetnew = -0.41 (0.51); t(19) > -3.64, ps < 0.01], but there was no significant di erence between targets and non-targets [target non-target = 0.03 (0.13); t(19) = 1.01, p = 0.29]. In sum, lower retrieval accuracy and slower response times for the retrieval of TBF\_T targets reflected that participants had more di culties in retrieving TBF\_T targets (see Table 1).

#### **ERP Data**

In order to assess the retrieval orientation e ect, ERP data were entered in a repeated ANOVA with Location (Anterior vs.

**TABLE 1** | Behavioral results

	Recognition rate		Reaction time	
	TBR_T	TBF_T	TBR_T	TBF_T
Hit_target	0.76(0.09)	0.67(0.13)	1069.21(166.10)	1333.42(244.87)
Hit_non-target	0.78(0.12)	0.66(0.18)	1162.60(216.13)	1322.54(395.19)
Correct rejection	0.95(0.09)	0.83(0.20)	893.66(153.60)	1035.45(292.44)
Pr	0.54(0.18)	0.34(0.26)		
Miss	0.24(0.08)	0.32(0.13)	1182.29(244.87)	1318.10(401.62)
Fal_non-target	0.22(0.12)	0.34(0.18)	1266.18(344.24)	1370.20(377.81)
Fal_target	0.04(0.08)	0.17(0.20)	699.93(493.13)	1185.79(496.12)

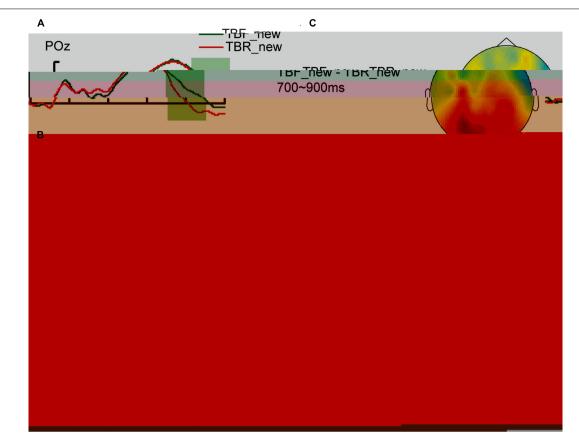
<sup>\*</sup>Standard deviations in parentheses. Hit\_target, "target hit"; Hit\_non-target, "non-target hit"; Fal\_non-target, "non-target false alarm"; Fal\_target, "target false alarm." The RT values are raw data.

Posterior), and Condition (TBR T vs. TBF T) as within subject factors. Comparing ERPs to new items between the TBR\_T and TBF T conditions, we focused on 300~450 ms, 450~650 ms, and  $700\sim900$  ms time windows. In  $300\sim450$  ms time window, the repeated ANOVA with factors of Location (Anterior vs. Posterior) and Condition (TBR\_T vs. TBF\_T) revealed no significant main e ect  $[F(1,19) < 3.65, ps > 0.07, \eta_p^2 = 0.17]$ , or no significant two-way interaction [F(1,19) = 1.18], p = 0.29,  $\eta_p^2 = 0.58$ ]. For 450~650 ms time window, a repeated-measures ANOVA with factors of Location (Anterior vs. Posterior) and Condition (TBR\_T vs. TBF\_T) revealed a significant main e ect of location [F(1,19) = 16.86, p = 0.001,  $\eta_p^2 = 0.47$ ], with no significant main e ect of condition [F(1,19)] = 0.12, p = 0.73,  $\eta_p^2 = 0.01$ ] or significant interaction between the two variables  $[F(1,19) = 1.55, p = 0.23, \eta_0^2 = 0.08]$ . For 700~900 ms time window, a significant interaction between location and retrieval orientation was observed [F(1,19) = 14.73, p < 0.001, $\eta_{\rm p}^2=0.44$ ]. In posterior sites, ERP to new items (700~900 ms) were found to be more positive for the TBF\_T condition than the TBR T condition [TBF T -TBR T = 1.52 (0.61)  $\mu$ V; t(19) = 2.51, p < 0.05; Figure 2A]; but in anterior sites, there was no di erence between TBF and TBR condition [TBF\_T -TBR T = 0.25 (0.48)  $\mu$ V; t(19) = 0.52, p = 0.61]. The findings on retrieval orientation showed that ERPs to new items were more positive-going for the TBF\_T condition. This e ect had the same polarity and a similar time course as in the previous study, but was topographically more posterior (Rosburg et al., 2011a; Figure 2C).

We then analyzed ERP old/new e ects (early frontal old/new e ects and late parietal old/new e ects) as critical neural indices of strategic retrieval. For early frontal old/new e ects (300~450 ms) at the anterior site, a repeated-measures ANOVA with factors of Condition (TBR\_T vs. TBF\_T) and Stimulus (Target vs. Non-target vs. New) revealed a significant main e ect of stimulus [F(2,38) = 14.56, p < 0.001,  $\eta_p^2 = 0.43$ ], with no significant main e ect of condition [F(1,19) = 0.15, p = 0.70,  $\eta_p^2 = 0.08$ ] or significant interaction between the two variables [F(2,38) = 2.04, p = 0.14,  $\eta_p^2 = 0.10$ ]. The stimulus e ect indicated that the early frontal old/new e ect for target was more positive than both non-target and new trials [target – non-target = 1.07 (0.29)  $\mu$ V; target – new = 1.31

 $(0.28) \mu V$ ; t(19) > 3.69, ps < 0.01], but there was no di erence between non-target and new trials [non-target new = 0.24 (0.20)  $\mu V$ ; t(19) = 1.21, p = 0.24]. For late parietal old/new e ect amplitudes (450~650 ms) at the posterior site, the repeated ANOVA with factors of Condition (TBR\_T vs. TBF\_T) and Stimulus (Target vs. Non-target vs. New) revealed a significant main e ect of stimulus [F(1,19) = 9.02, p < 0.001, $\eta_p^2 = 0.32$ ] and a significant interaction [F(2,38) = 7.12,p < 0.01,  $\eta_D^2 = 0.27$ ], but no significant main e ect of condition  $[F(1,19) = 0.49, p = 0.50, \eta_p^2 = 0.03]$ . The main e ect of stimulus suggested that the late parietal old/new e ects for targets were more positive than non-target and new trials [targetnew = 1.37 (0.33)  $\mu V$ ; target - non-target = 1.10 (0.39)  $\mu V$ ; t(19) > 2.85, ps < 0.05], but there was no di erence between non-target and new trials [non-target – new = 0.27 (0.31)  $\mu V$ ; t(19) = 0.88, p = 0.39]. In the TBR\_T condition, the late parietal old/new e ect to targets were more positive than to non-targets and new items [target - non-target = 2.20 (0.59)  $\mu V$ : target - new = 1.67 (0.50)  $\mu V$ : t(19) > 3.35, ps < 0.01: Figure 2B], with no di erence between the latter two [nontarget - new = -0.53 (0.39)  $\mu$ V; t(19) = -1.37, p = 0.19; Figure 2B].

However, for the TBF\_T condition, the left-parietal ERPs to targets and non-targets were more positive than to new items [target – new = 1.07 (0.27)  $\mu$ V; non-target – new = 1.08 (0.42)  $\mu$ V; t(19) > 2.57, ps < 0.05; **Figure 2B**], but [hærgetwas no di erence between targett



**FIGURE 2** | ERPs of strategic retrieval. **(A)** ERPs to new items in the two target conditions: data from the POz electrode are shown; ERPs to new items in the TBR\_T condition are plotted as a red line, ERPs to new items in the TBF\_T condition as a green line. **(B)** The late parietal old/new effects for targets, non-targets and new items at the electrode Pz are shown, separately for the TBR\_T and TBF\_T condition. **(C)** The topographic maps of retrieval orientation showed that amplitudes of TBR (to be remembered) instruction were more positive than that of TBF (to-be-forgotten) instruction during 700~900 ms time windows. The topographic maps of strategic recollection showed that TBR (to-be-remembered) instruction diminished differential amplitudes between non-target and new items during time windows of late parietal old/new effects (450~650 ms).

# **DISCUSSION**

As for behavioral data, our participants exhibited retrieval advantages for the TBR targets, with more accurate and faster retrieval of TBR targets than that of TBF targets, which is similar as previous studies of DF tasks (Sahakyan and Kelley, 2002; Wylie et al., 2008). The relatively poor performance of TBF targets might indicate more di cult retrieval for TBF items. Given that retrieval RTs were found to be modulated by the retrieval orientation e ect, the retrieval RTs of new items were faster for TBR\_T condition than for TBF\_T condition.

According to dual-process models of recognition memory, the early frontal old/new e ect was believed to reflect familiarity-related processes, and the late parietal old/new e ect was suggested to index recollection-related processes (Rugg and Curran, 2007). Familiarity was often operationally defined as recognition processes without retrieving details of events, which was sensitive to memory strength. In the current study, an early frontal old/new e ect was observed in response to target items independently of instructions (TBR vs. TBF). Under both TBR and TBF instruction, the targets were recognized successfully

without any contextual details. When the TBR items were targets, they were easy to recognize, because their memory strength were higher than TBF items. Although TBF items were di cult to retrieve intentionally, subjects still recognized the TBF items successfully, without retrieving non-targets of TBR items to promote the familiarity. These findings suggested that familiarity could be modulated by top—down processes of strategic retrieval and therefore indicating that the memory representation was strong enough to intentional retrieval, especially for TBF items.

In addition, recollection was defined as recognition processes with contextual details retrieved. Previous ERP evidences of DF e ects on retrieval was accompanied by the absence of late parietal old/new e ects (Ullsperger et al., 2000). This means that the absence of late parietal old/new e ects was an index of retrieval inhibition and this retrieval inhibition repressed the process of recollection. However, our ERP results suggested that TBF items which were retrieval targets could elicit late parietal old/new e ects, suggesting that strategic retrieval could alleviate retrieval inhibition to reactivate recollection of TBF items representation. When the TBR items were targets, the TBF items were not recalled; when TBF items were targets, the TBF

items were successfully recalled. The di erent retrieval targets changed the e ects of retrieval inhibition to influence the retrieval success, which further suggested the impact of retrieval inhibition on DF e ects. Therefore, the impaired retrieval elicited by DF instruction may not only be due to weak encoding representation, but also by retrieval inhibition.

The mechanism of strategic retrieval included strategic recollection and retrieval orientation. The investigation of strategic retrieval for TBF items helped clarify how strategic retrieval could overcome retrieval inhibition. Our ERP results of retrieval orientation e ects were examined to compare TBR\_T condition with TBF\_T condition. The previous studies of retrieval orientation showed that ERPs to new items were more positive at frontal electrode sites between 600 and 1100 ms when di cult retrieval items were targeted (vs. easy retrieval items were targeted; Rosburg et al., 2011a). This e ect of test condition on the processing of new items was interpreted as a retrieval orientation e ect, due to the higher level of retrieval e orts for di cult target-retrieval conditions. In line with this argument, our study revealed more positive ERPs to new items when TBF items were targeted (vs. when TBR items were targeted), supporting our hypothesis that the correlation of retrieval orientation reflected that retrieval tasks for TBF targets demanded more retrieval e orts to overcome retrieval inhibition. The retrieval orientation e ects in pervious and the present study were similar in their temporal characteristics (700~900 ms time window), in which their topographic distributions di ered between the studies. In our currently study, the retrieval orientation e ect was found exclusively at posterior electrode sites, while previous topography of the observed e ects was found in frontal electrode sites extending to posterior electrode sites. The topography of a retrieval orientation e ect could be assumed to be dependent on retrieval e orts of di erent information (Rosburg et al., 2011a). Previous research indicated that the maintenance of memory retrieval was thought to be governed by a cortical-basal gangliathalamo-cortical loop (Depue, 2012). In this loop, sensory representations in posterior cortex are actively maintained by retrieval control. Thus, TBF instructions might elicit more retrieval e orts and modulate the topography of a retrieval orientation e ect that was observed at posterior electrode sites (Dzulkifli and Wilding, 2005; Mecklinger, 2010).

Also, strategic recollection was examined to investigate which strategy was used in the processes of strategic retrieval. As previous studies mentioned, there were two types of strategic recollection: the recall-to-reject strategy was the retrieval of non-targets information to reject non-targets, while the taskspecific retrieval strategy would trend to retrieve specific targets rather than non-target items (Herron and Rugg, 2003). The non-target retrieval was suggested to be probably governed by the retrieval di culty of target information (Herron and Rugg, 2003) or the type of strategic recollection which occurred relied on the retrieval di culty of targets. Our behavioral results exhibited more accurate and faster retrieval for TBR targets than TBF targets, suggesting that TBF targets were more di cult to retrieve. Since the TBF instruction resulted in less elaborative rehearsal and more retrieval inhibition for TBF items, DF was suggested to increase the retrieval di culty of TBF items. We used the late parietal old/new e ect as a reliable measurement for strategic recollection, because the late parietal old/new e ect was demonstrated to be associated with strategic recollection (Herron and Rugg, 2003; Wilding et al., 2005; Rosburg et al., 2011b). When TBR items were considered as targets, the retrieval di culty of targets was low and the retrieval task was retrieving targets rather than non-targets, thus reflecting the engagement of task-specific retrieval processes modulating what is retrieved (Dzulkifli and Wilding, 2005). Our ERP evidence showed that the late parietal old/new e ect was only evoked by targets (TBR items), reflecting the task-specific retrieval strategy which was beneficial for retrieval inhibition of TBF items, in order to selectively retrieve target information. This retrieval strategy is an e cient way to avoid the disturbing of unrelated information and to identify the target information of low retrieval di culty.

However, the possibility of recall-to-reject strategy was demonstrated to increase with increased retrieval di culty. The recall-to-reject retrieval strategy was shown to occur when target accuracy was lowered by increasing the task di culty (Dzulkifli et al., 2006). In this type of task, retrieval of non-

e ect) was evoked by both TBR items and TBF items, reflecting recall-to-reject strategy which promoted more accurate decision-making to overcome retrieval inhibition.

# **ETHICS STATEMENT**

Each subject signed an informed consent form before experiment and received monetary compensation after experiment. This study was carried out in accordance with the recommendations of "Human Research Ethics Committee at Capital Normal University"; with written informed consent from all subjects. All subjects gave written informed consent in accordance with the Declaration of Helsinki. The protocol was approved by the "Human Research Ethics Committee at Capital Normal University." No additional considerations of the study in cases where vulnerable populations were involved.

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## **AUTHOR CONTRIBUTIONS**

CG supervised the project and designed the study. MT collected and analyzed the data. XM wrote the main manuscript text and prepared **Figures 1**, **2**. YL, BL, and YW revised the draft of manuscript. YJ took the charge of language revision. All authors reviewed the manuscript.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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